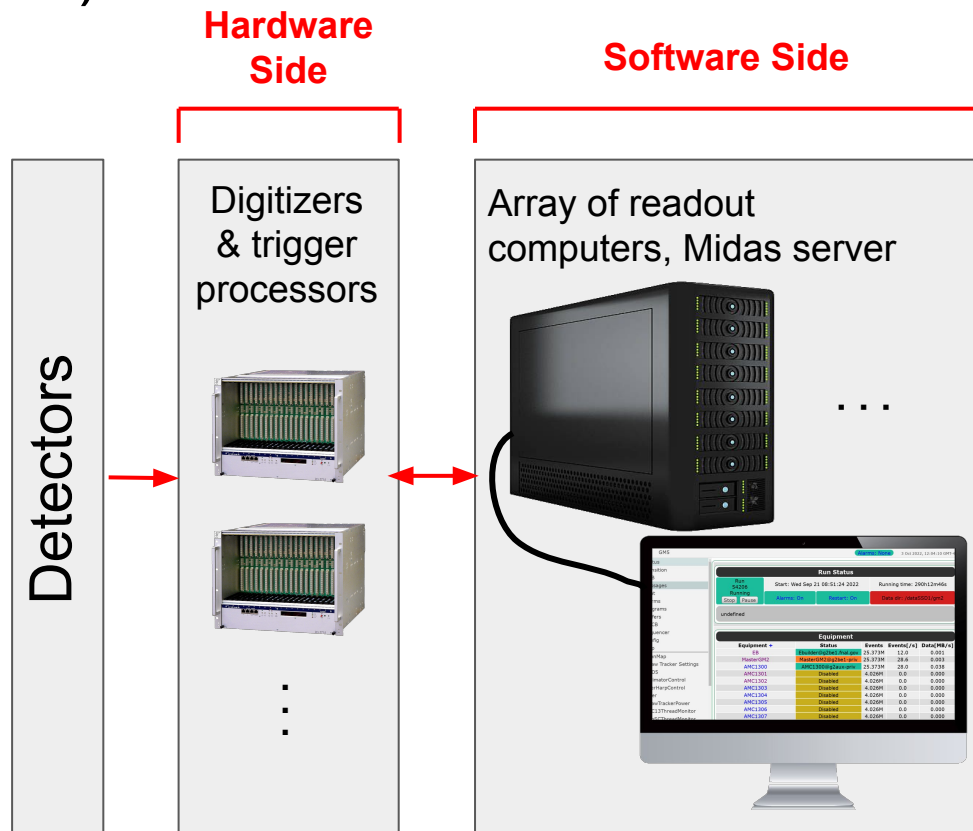


DAQ Introduction

Jack Carlton
University of Kentucky

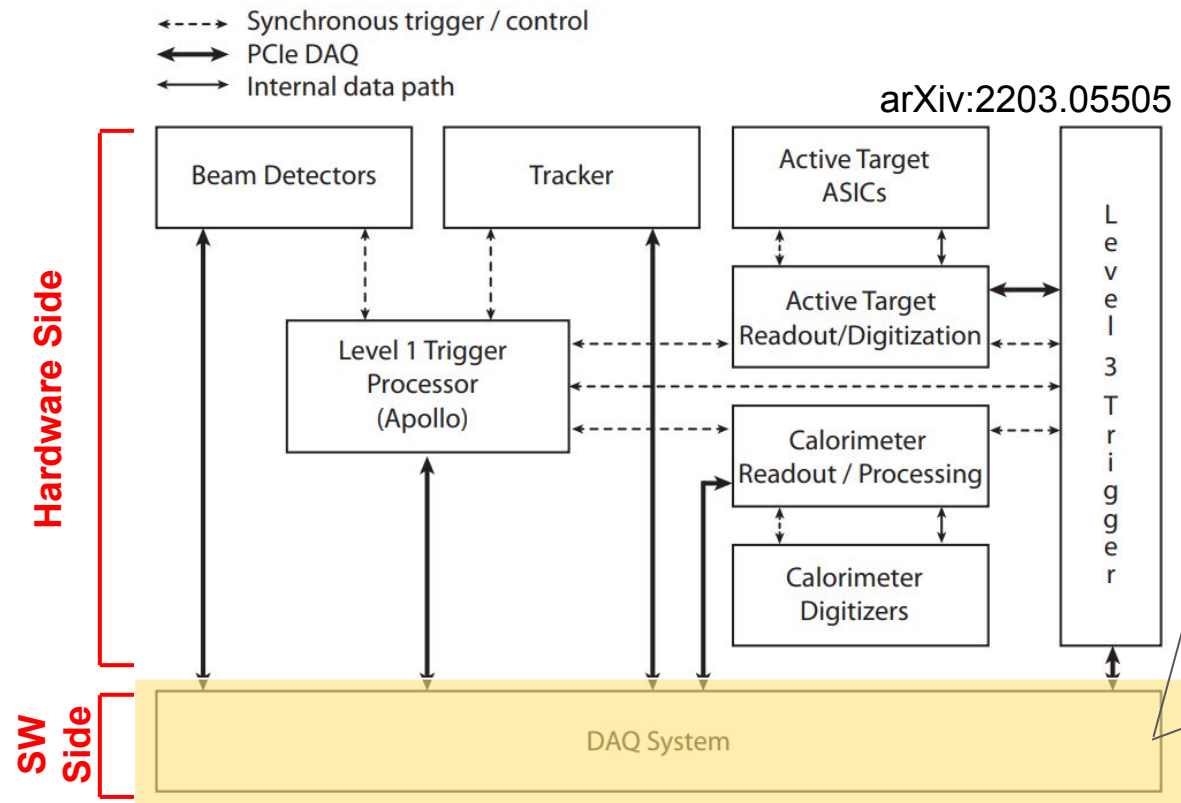
What is Data Acquisition (DAQ)?

- **“DAQ” refers to the system of electronics used to convert analog signals from an experiment and package them into digital “events”**
 - Usually “DAQ” refers to the “software side”, but sometimes refers to hardware as well
 - Hardware side also called “electronics”
- I like to differentiate between the software and hardware sides



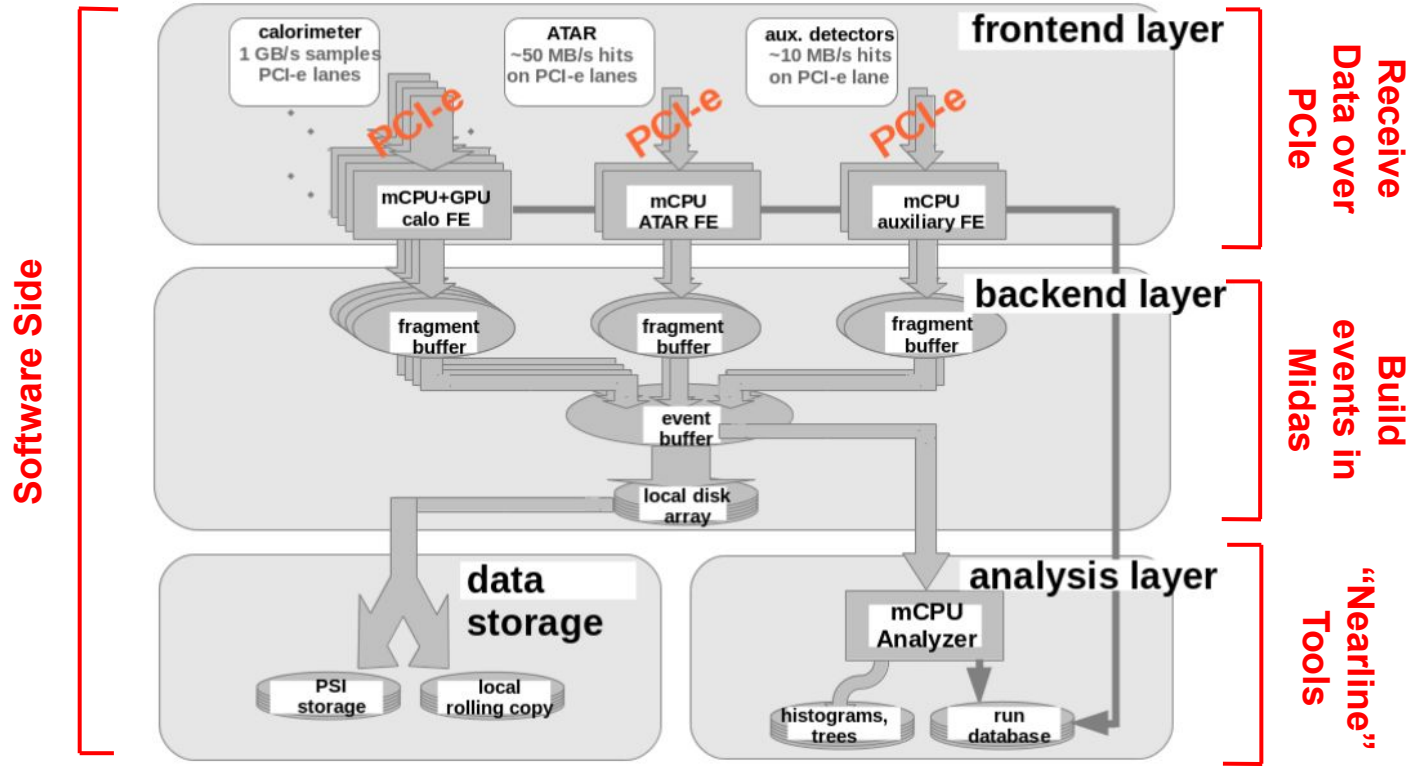
Proposed Data Acquisition (DAQ) Framework

arXiv:2203.05505



Proposed Data Acquisition (DAQ) Framework

arXiv:2203.05505



Data Rates

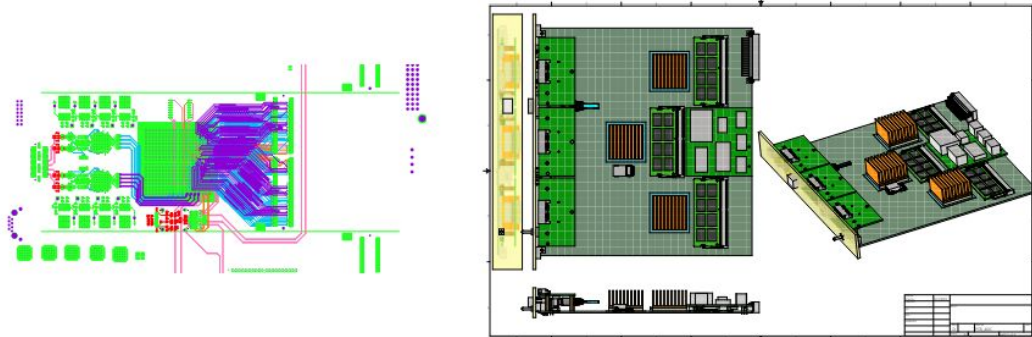
arXiv:2203.01981

| triggers | prescale | range | rate | CALO | | | ATAR digitizer | | | ATAR high thres | |
|----------|----------|----------|------|-----------------------|------|------|-----------------------|------|------|-----------------|-------|
| | | | | $\Delta T(\text{ns})$ | chan | MB/s | $\Delta T(\text{ns})$ | chan | MB/s | chan | MB/s |
| PI | 1000 | -300,700 | 0.3 | 200 | 1000 | 120 | 30 | 66 | 2.4 | 20 | 0.012 |
| CaloH | 1 | -300,700 | 0.1 | 200 | 1000 | 40 | 30 | 66 | 0.8 | 20 | 0.004 |
| TRACK | 50 | -300,700 | 3.4 | 200 | 1000 | 1360 | 30 | 66 | 27 | 20 | 0.014 |
| PROMPT | 1 | 2,32 | 5 | 200 | 1000 | 2000 | 30 | 66 | 40 | 20 | 0.2 |

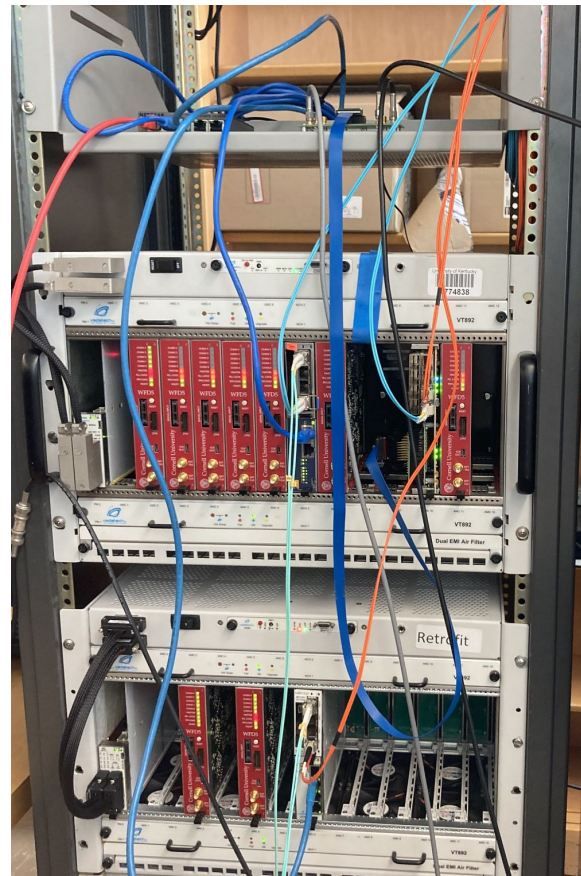
- PIONEER DAQ expects data rate of ~**3.5GB/s**
- This is ~**100,000 TB/year**
- How do we compress this in real time? (Not in this talk)
 - Fit data, store fit parameters
 - Compress and store residuals, throw some out
 - Graphics Processing Units (GPUs) used for this operation

Our Two DAQs

- g-2 modified DAQ
 - Modified for various experiments across the collaboration (test beam, LXe testing, LYSO testing, ...)
- PIONEER DAQ
 - In nascent development state
 - Design catered to PIONEER full experiment necessities



PIONEER ADC schematic drawings



UKY test stand MicroTCA crates

What is a Field Programmable Gate Array (FPGA)?

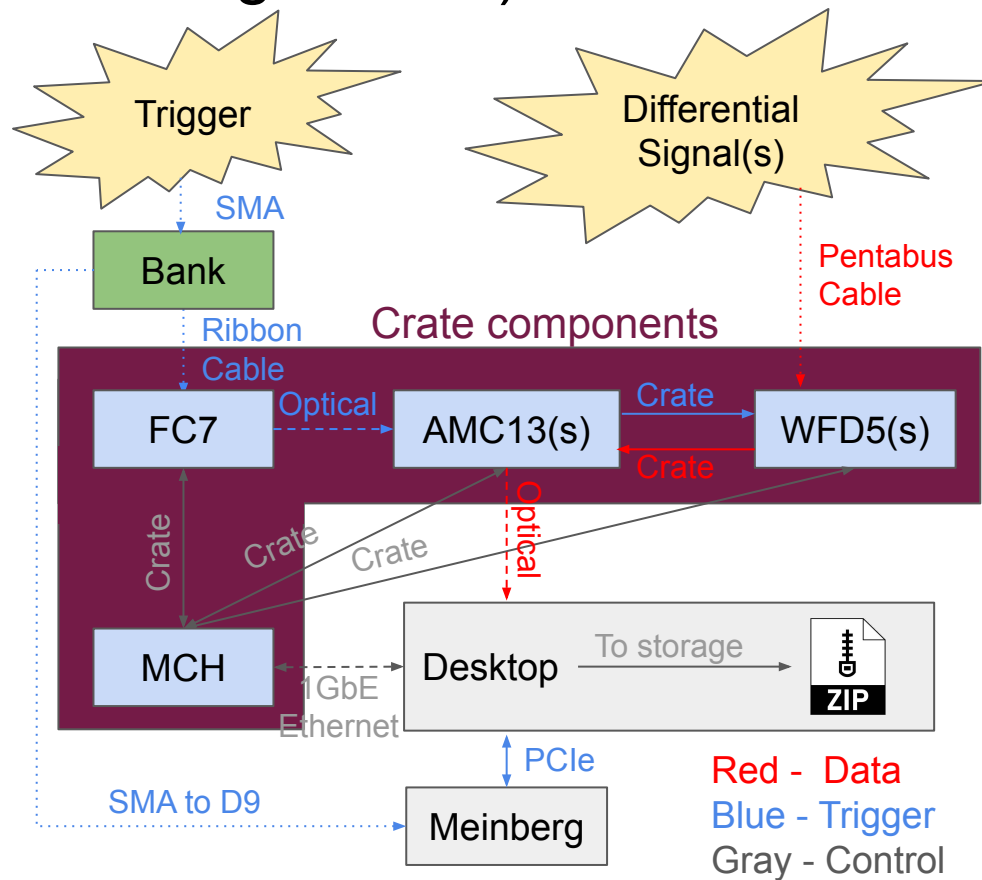
- Commonly used for real time data processing
- Programmable
 - Typically use a software tool called Vivado
 - Typically programmed using Verilog or VHDL
 - Use low-level software called “firmware”
- Allows for fast, flexible control of logic signals to board components
- Building block in almost all of our hardware (WFD5s, FC7s, AMC13s)



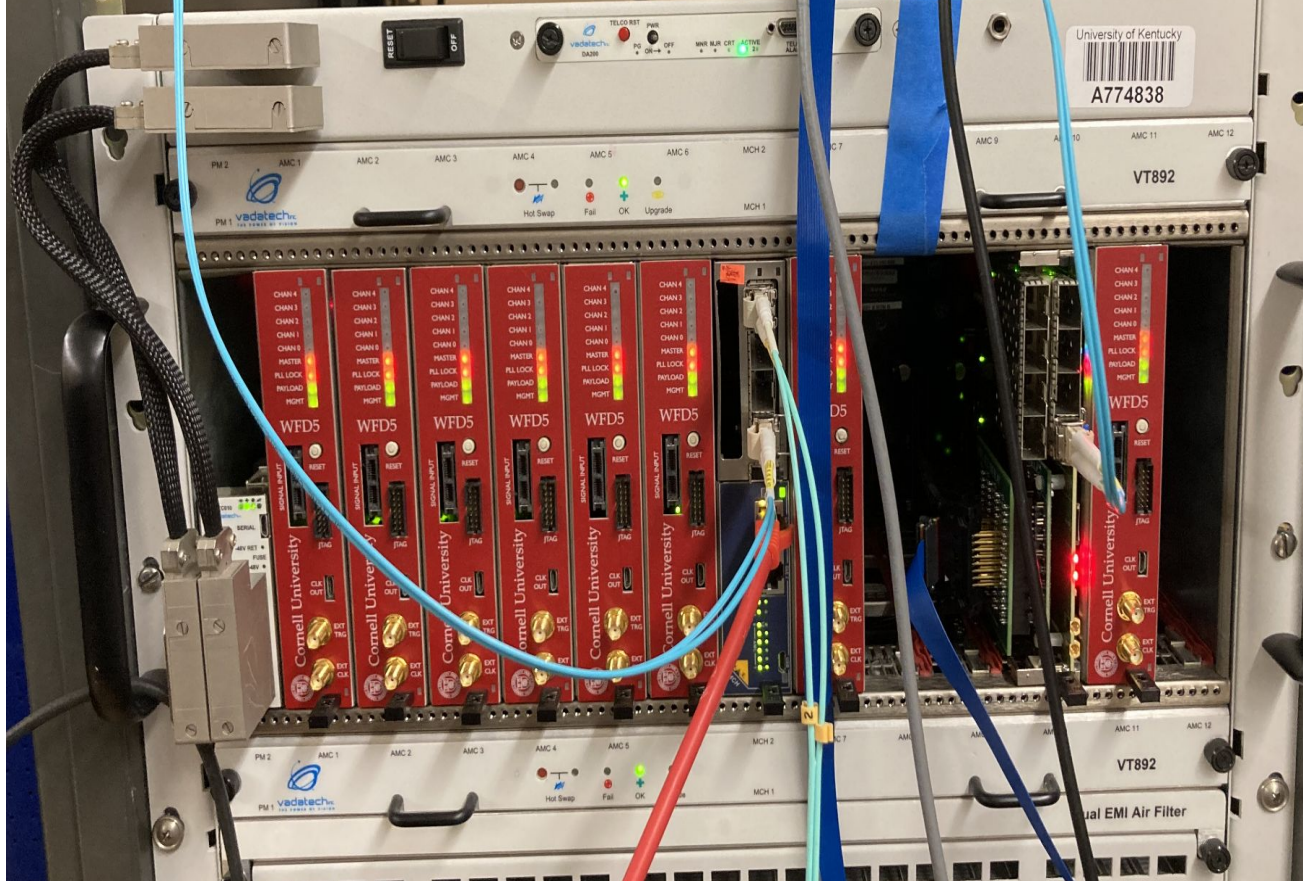
A Xilinx Development Board with a XC6LX45T FPGA (Spartan-6)

Teststand DAQ Hardware (Modified g-2 DAQ)

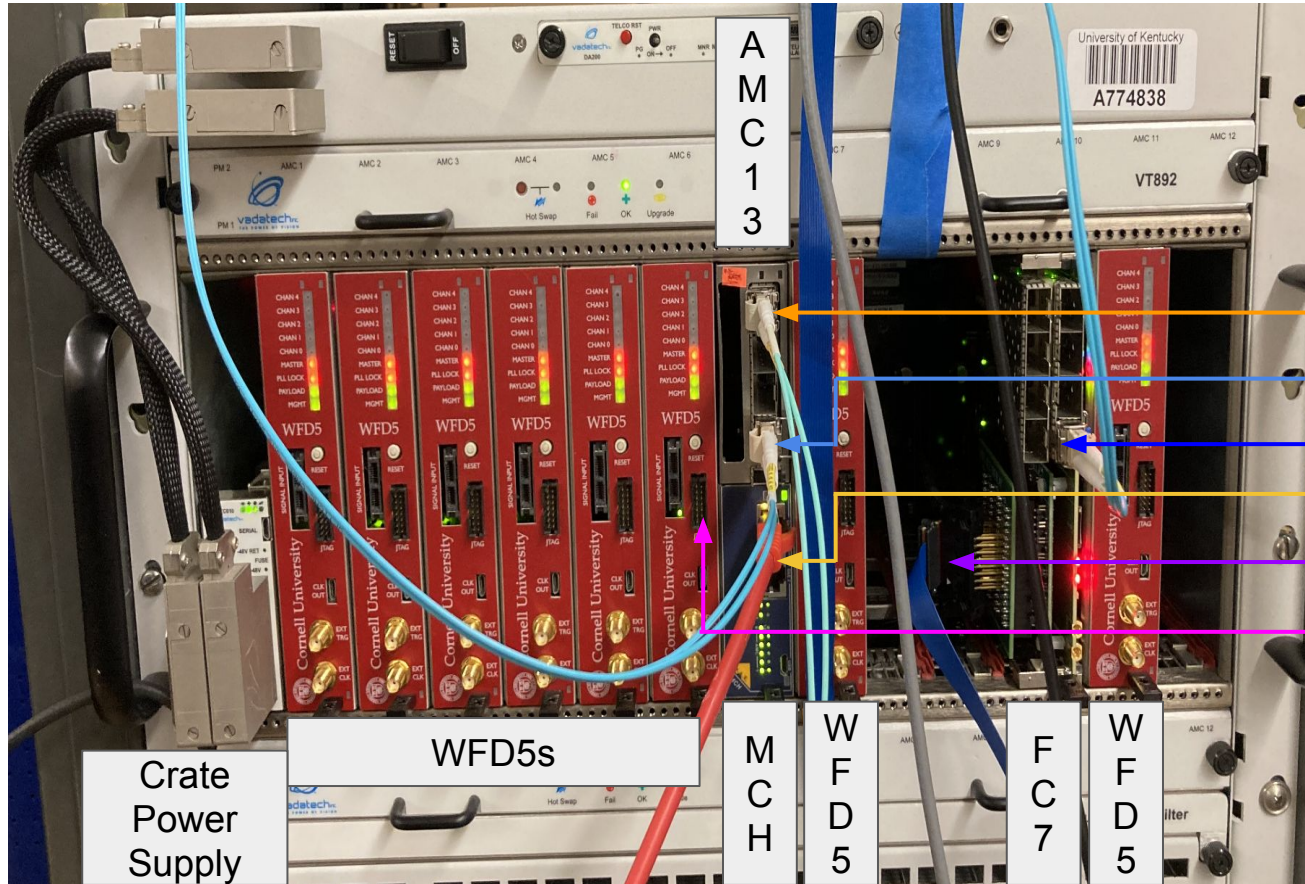
- Differential signal into WFD5 (Waveform Digitizer)
- Trigger signal into FC7 (Flexible Controller)
- AMC13 (Advanced Mezzanine Card) gathers data, sends over 10GbE (10 Gigabit Ethernet) to desktop
- MCH (MicroTCA Carrier Hub) facilitates Desktop ↔ Crate communication over 1GbE
- Desktop CPU handles event processing
- Meinberg gives trigger timestamp to computer



Teststand DAQ Hardware (Modified g-2 DAQ)



Teststand DAQ Hardware (Modified g-2 DAQ)



Note: AMC13 and MCH are half slot modules

- 10GbE out (data) AMC13→desktop
- Trigger in AMC13
- Trigger out FC7
- 1GbE MCH in/out (comm.)
- FC7 Trigger in
- WFD5 5-channel, differential signal in (no connection in this picture)

PIONEER DAQ Hardware (In a Nascent State)

- Using APOLLO system (no more μ TCA crates)
- Data is moved using “Firefly” optical flyover system
 - 25 gb/s > 10gb/s links from g-2
- Data received by desktop through Firefly PCIe cards



Command Module (CU)

+



Service Module (BU)

=



Firefly PCIe board

Midas Framework

- C/C++ (mostly) package of modules for
 - run control,
 - expt. configuration
 - data readout
 - event building
 - data storage
 - slow control
 - alarm systems
 - Etc.
- Can link with custom software

The screenshot displays the GM5 Midas Webpage interface. On the left is a sidebar menu with options: Status, Transition, ODB, Messages, Chat, Alarms, Programs, Buffers, MSCB, Sequencer, Config, Help, ChanMap, Straw Tracker Settings, WFD5, CollimatorControl, FiberHarpControl, Laser, StrawTrackerPower, AMC13ThreadMonitor, CaloSCThreadMonitor, and TPCSCThreadMonitor. The main content area is titled 'Run Status' and shows the following information:

- Run 54206 is Running.
- Start: Wed Sep 21 08:51:24 2022
- Running time: 290h12m46s
- Buttons: Stop, Pause, Alarms: On, Restart: On.
- Data dir: /dataSSD1/gm2

Below the Run Status section is an 'Equipment' table with the following data:

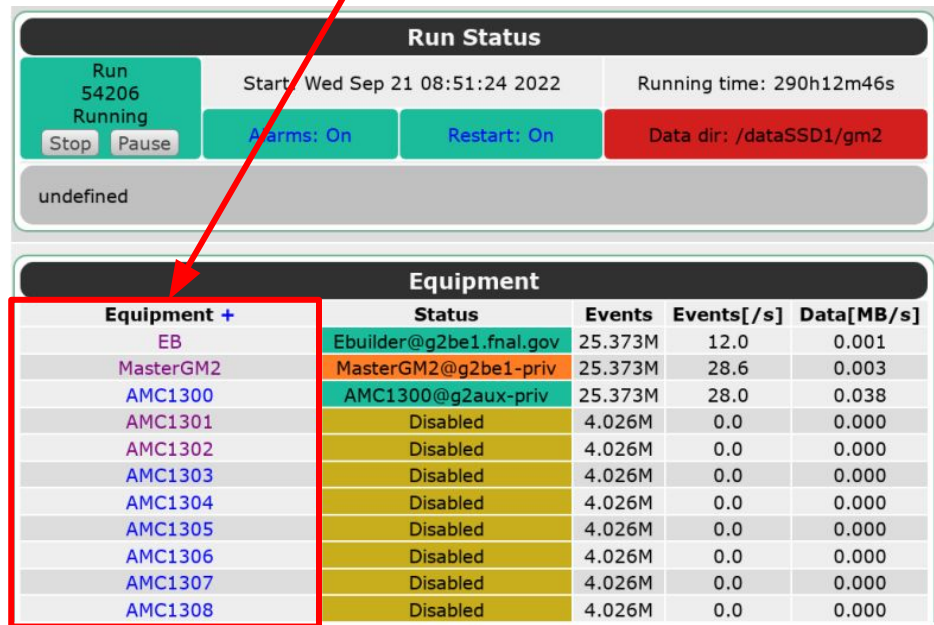
| Equipment + | Status | Events | Events[/s] | Data[MB/s] |
|-------------|-------------------------|---------|-------------|------------|
| EB | Ebuilder@g2be1.fnal.gov | 25.373M | 12.0 | 0.001 |
| MasterGM2 | MasterGM2@g2be1-priv | 25.373M | 28.6 | 0.003 |
| AMC1300 | AMC1300@g2aux-priv | 25.373M | 28.0 | 0.038 |
| AMC1301 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1302 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1303 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1304 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1305 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1306 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1307 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1308 | Disabled | 4.026M | 0.0 | 0.000 |

Example g-2 Midas Webpage

Midas Frontends

- C++ programs operating in the midas framework
- Typically handle receiving, processing, and packing data into midas events
- [Simple example frontend](#)

List of frontends



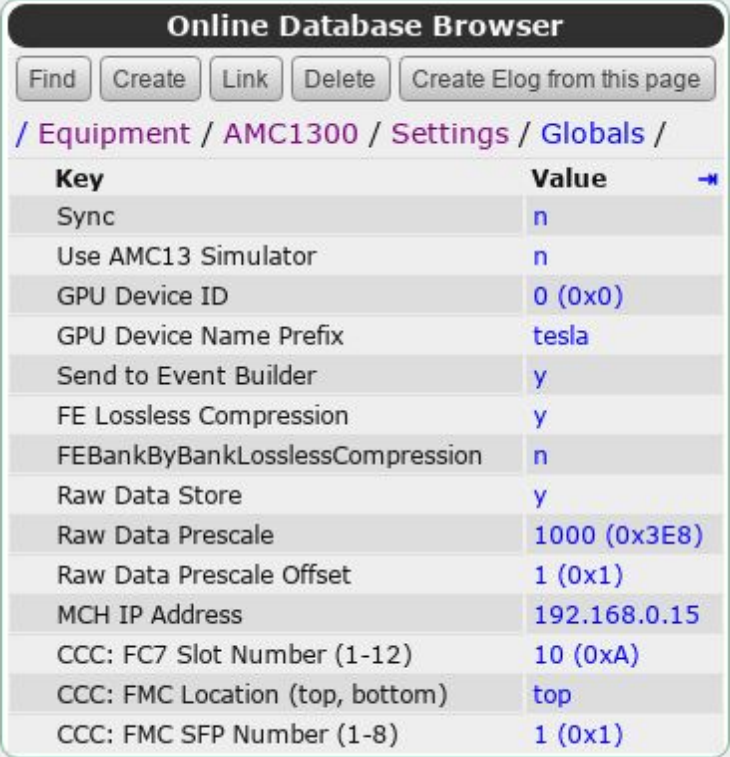
The screenshot displays the g-2 Midas Webpage. The top section, 'Run Status', shows the system is running (Run 54206) with a start time of Wed Sep 21 08:51:24 2022 and a running time of 290h12m46s. It includes buttons for Stop, Pause, Alarms: On, and Restart: On, and a data directory of /dataSSD1/gm2. The bottom section, 'Equipment', is a table listing various equipment and their status. A red box highlights the first part of the Equipment table, and a red arrow points from the 'List of frontends' text to this box.

| Run Status | | | | |
|------------------------------------|---------------------------------|--------------------------|------------|-------------------------|
| Run 54206 Running Stop Pause | Start: Wed Sep 21 08:51:24 2022 | Running time: 290h12m46s | | |
| Alarms: On | | Restart: On | | Data dir: /dataSSD1/gm2 |
| undefined | | | | |
| Equipment | | | | |
| Equipment + | Status | Events | Events[/s] | Data[MB/s] |
| EB | Ebuilder@g2be1.fnal.gov | 25.373M | 12.0 | 0.001 |
| MasterGM2 | MasterGM2@g2be1-priv | 25.373M | 28.6 | 0.003 |
| AMC1300 | AMC1300@g2aux-priv | 25.373M | 28.0 | 0.038 |
| AMC1301 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1302 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1303 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1304 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1305 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1306 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1307 | Disabled | 4.026M | 0.0 | 0.000 |
| AMC1308 | Disabled | 4.026M | 0.0 | 0.000 |

Example g-2 Midas Webpage

Online Database (ODB)

- GUI on midas webpage
 - Also available command line
- Allows for “on the fly” adjustments between runs
- Built in configurations:
 - Midas webpage
 - Logger write location
 - Webpage update rate
 - Etc.
- Custom configurations
 - Configure hardware
 - etc.



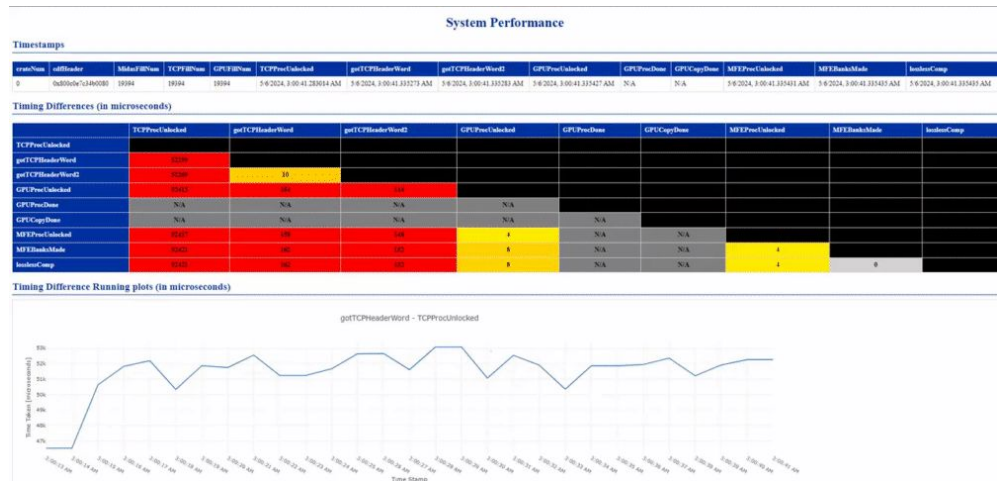
The screenshot shows the 'Online Database Browser' interface. At the top, there is a navigation bar with buttons for 'Find', 'Create', 'Link', 'Delete', and 'Create Elog from this page'. Below the navigation bar is a breadcrumb trail: '/ Equipment / AMC1300 / Settings / Globals /'. The main content area is a table with two columns: 'Key' and 'Value'. The table lists various configuration parameters and their current values.

| Key | Value |
|---------------------------------|--------------|
| Sync | n |
| Use AMC13 Simulator | n |
| GPU Device ID | 0 (0x0) |
| GPU Device Name Prefix | tesla |
| Send to Event Builder | y |
| FE Lossless Compression | y |
| FEBankByBankLosslessCompression | n |
| Raw Data Store | y |
| Raw Data Prescale | 1000 (0x3E8) |
| Raw Data Prescale Offset | 1 (0x1) |
| MCH IP Address | 192.168.0.15 |
| CCC: FC7 Slot Number (1-12) | 10 (0xA) |
| CCC: FMC Location (top, bottom) | top |
| CCC: FMC SFP Number (1-8) | 1 (0x1) |

Example ODB Page on Midas Webpage

Custom Software

- Can write “clients” that connect to midas experiment
 - Python
 - C++
- Allows for user to write software to fit their needs, for example:
 - Data Quality Monitor
 - Offline analysis scripts
 - Automatic ODB management



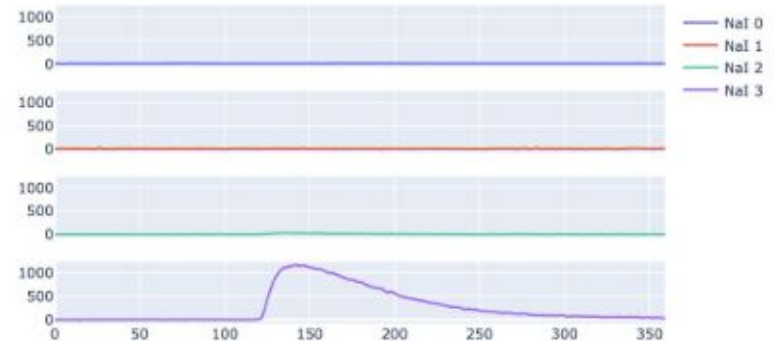
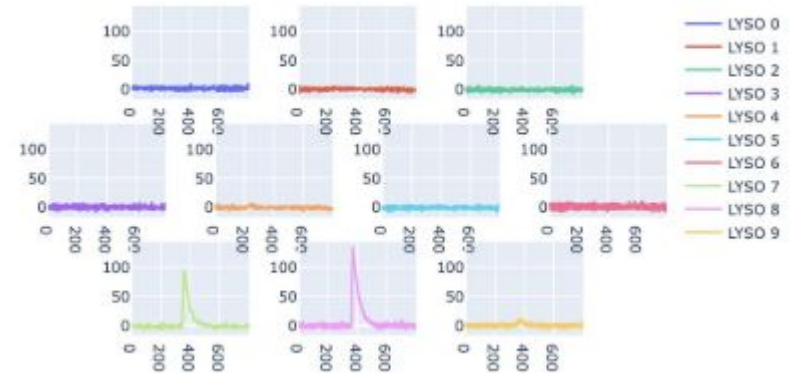
Example System Performance Webpage that Links with Midas

Nearline Processing

- Any preliminary processing on the data before moving to permanent storage

Examples:

- Data quality monitors (DQM) that effectively sample and display data
- Building ROOT trees from midas files (Unpacker, by Sean Foster)
- Moving/Mirroring files

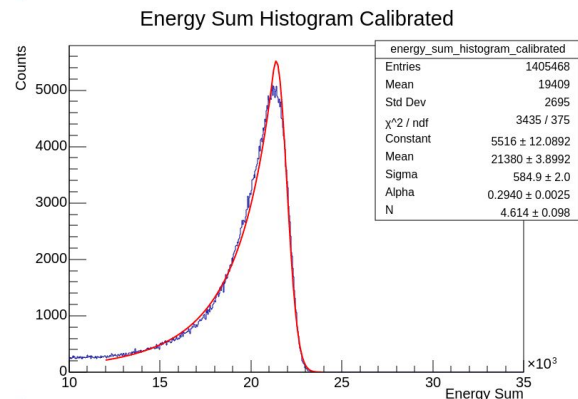
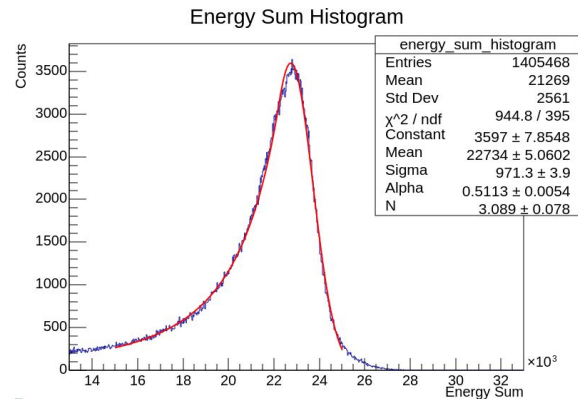


Offline Processing

- Any processing on the data after it has been moved to permanent storage

Examples:

- Creating deposited energy histograms
- Chaining runs together
- Pretty much any rigorous analysis



Preliminary Energy Sum Histograms from the 2023 Testbeam

Auxiliary Slides

Outline

- I. □ Introduction and Motivation
 - A. What is DAQ?
 - B. Proposed PIONEER DAQ Framework
 - C. Why do all this? - Data Rates
 - D. Two DAQs - Why?
- II. □ The Hardware Side
 - A. What is an FPGA?
 - B. g-2 DAQ Hardware
 - C. PIONEER DAQ proposed hardware
- III. □ The Software Side
 - A. Midas
 - B. Frontends
 - C. “Nearline” Processing
 - D. “Offline” Processing

Hardware Initialism Cheatsheet

| Initialism | Meaning | Example (if applicable) |
|---------------------|---|--|
| DAQ | D ata A cquisition | |
| ADC | A nalog-to- D igital C onverter | |
| 10GbE | 10 G igabit E thernet | |
| AFE | A nalog F ront E nd | |
| FPGA | F ield P rogrammable G ate A rray | |
| CPU | C entral P rocessing U nit | Intel Core i7-12700K |
| GPU | G raphics P rocessing U nit | NVIDIA A5000 |
| uTCA (or μ TCA) | M icro T elecommunications C omputing A rchitecture | |
| WFD | W aveform D igitizer | WFD5 |
| FC | F lexible C ontroller | FC7 |
| AMC | A dvanced M ezzanine C ard | AMC13 (confusingly, also FC7 and WFD5) |
| MCH | M icroTCA C arrier H ub | |
| DDR | D ouble D ata R ate | DDR3, DDR4 (RAM) |
| PCIe | P eripheral C omponent I nterconnect E xpress | PCIe2, PCIe3, ... |

FPGA Types

Rough example name breakdown:

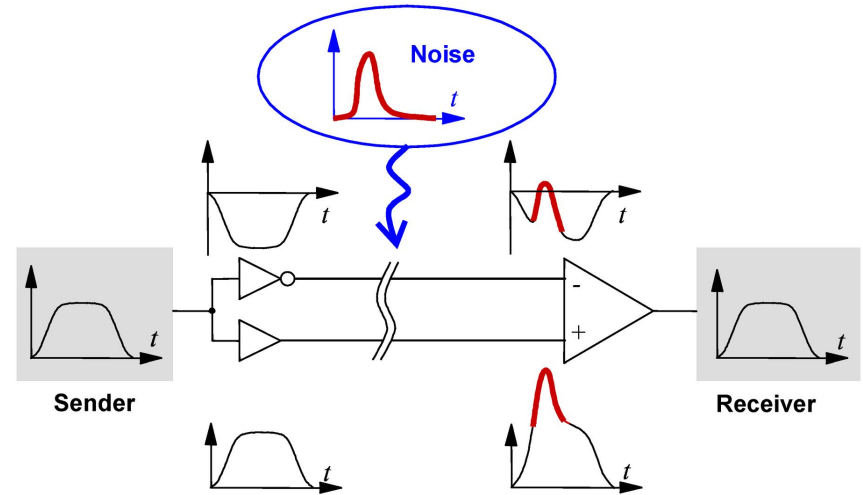
XCVU190+1:

- **X:** Xilinx
- **C:** Some family indicator (?)
- **VU:** FPGA Family. "VU" → Virtex UltraScale family.
- **9:** Device capacity or size
- **+1,+2,+3:** A speed grade for the FPGA

| Series | Example FPGA |
|------------------------|--------------|
| Virtex UltraScale+ | XCVU9P |
| Virtex UltraScale | XCVU190 |
| Kintex UltraScale+ | XCKU15P |
| Kintex UltraScale | XCKU040 |
| Artix UltraScale+ | XA7A50T |
| Artix-7 | XC7A200T |
| Zynq UltraScale+ MPSoC | XCZU9EG |
| Zynq-7000 SoC | XC7Z045 |
| Spartan-7 | XC7S100 |
| Spartan-6 | XC6SLX75 |

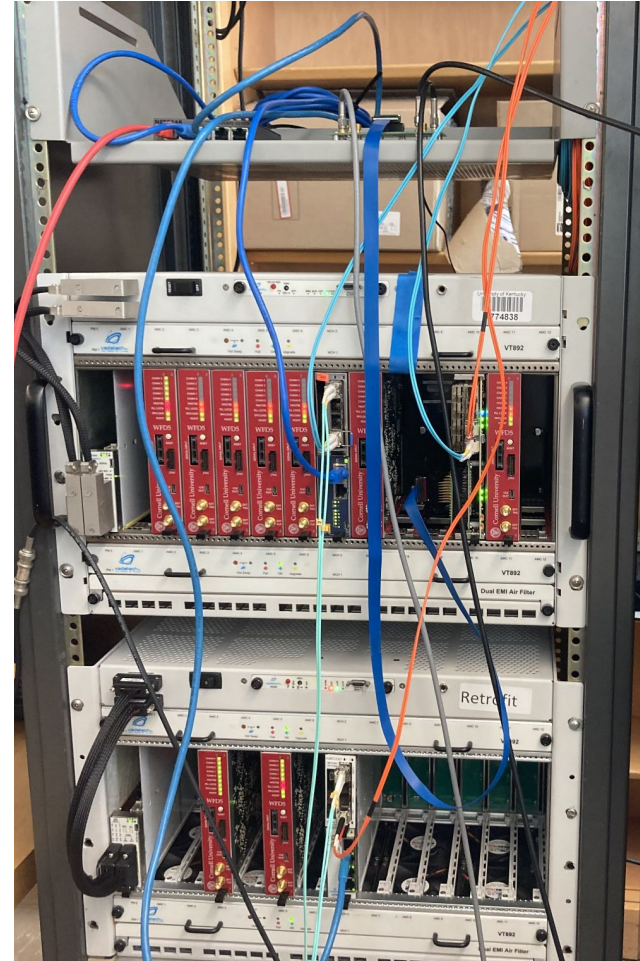
Why a Differential Signal?

- More resistant to noise → cleaner signal
- Lower supply voltages can be used
 - reduce power consumption, and allow for higher operating frequencies.
 - Low Voltage CMOS (LVCMOS) is 3.0–3.3 V



Multiple Crate g-2 DAQ Hardware

- Each crate needs an MCH to communicate with desktop
 - Another 1GbE link required, ethernet splitter introduced (see blue 1GbE cables)
- Each crate needs an AMC13
 - Another 10GbE data link to desktop introduced (see bottom mint cable)
 - Trigger signal fed from FC7 in first crate to AMC13 in bottom crate via optical cable (see orange cable)
- Note: There are two mint optical cables running towards a desktop rather than 1 mint cable connecting both AMC13s



Why the Apollo System?

- CERN + CMS/ATLAS → APOLLO platform
 - Cornell already had a hand in designing boards for APOLLO system
- Unlike μ TCA, the actual data handling does not need to move through the backplane
 - More user control
- APOLLO system handles more channels per optical link → fewer desktops needed
 - APOLLO System ~ 3000 channels/(400 chan/board * 2 boards/computer) \sim **4 computers**
 - μ TCA System ~ 3000 channels/(60 chan/crate * 2 crates/computer) \sim **30 computers**



Command Module (CU)

+



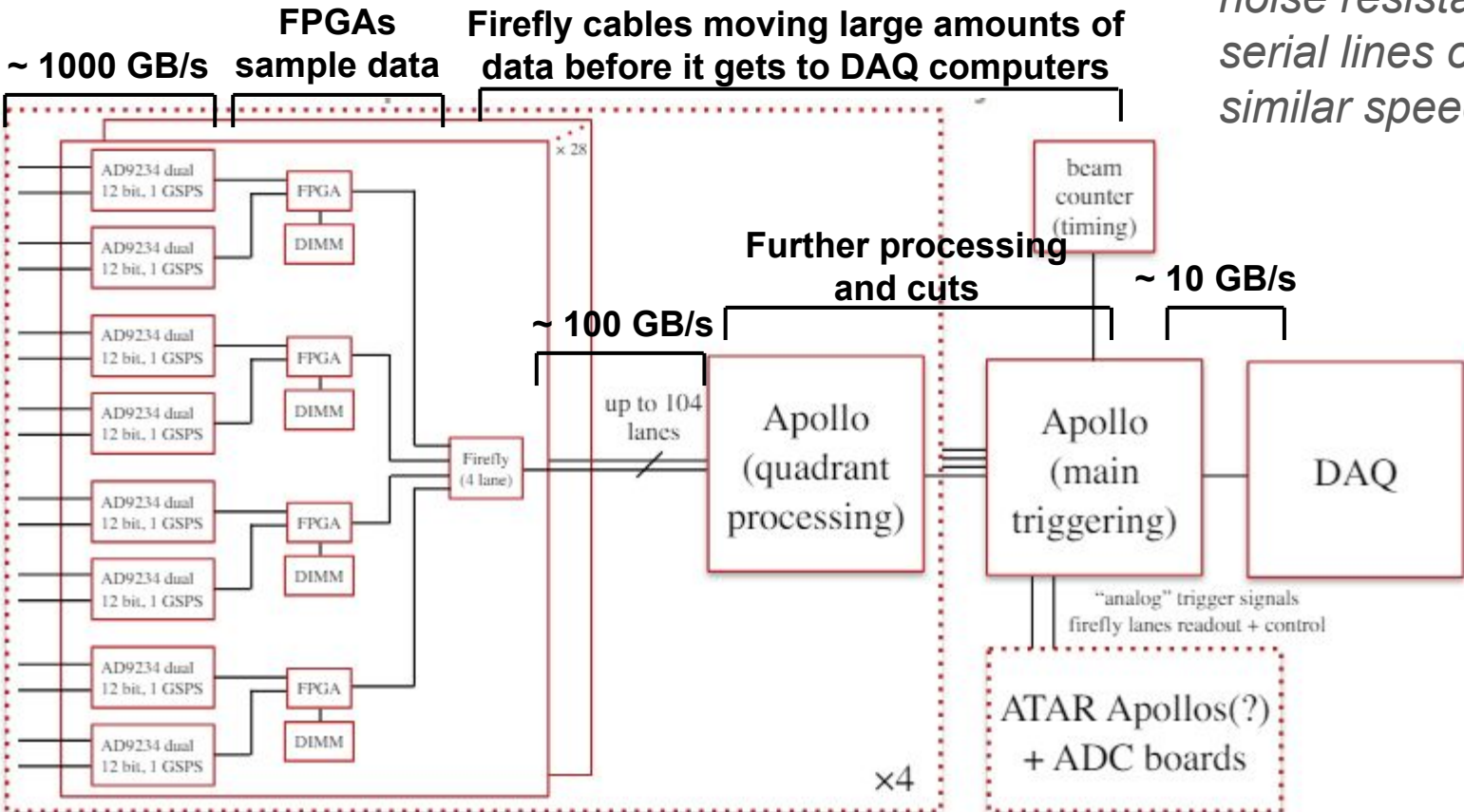
Service Module (BU)

=



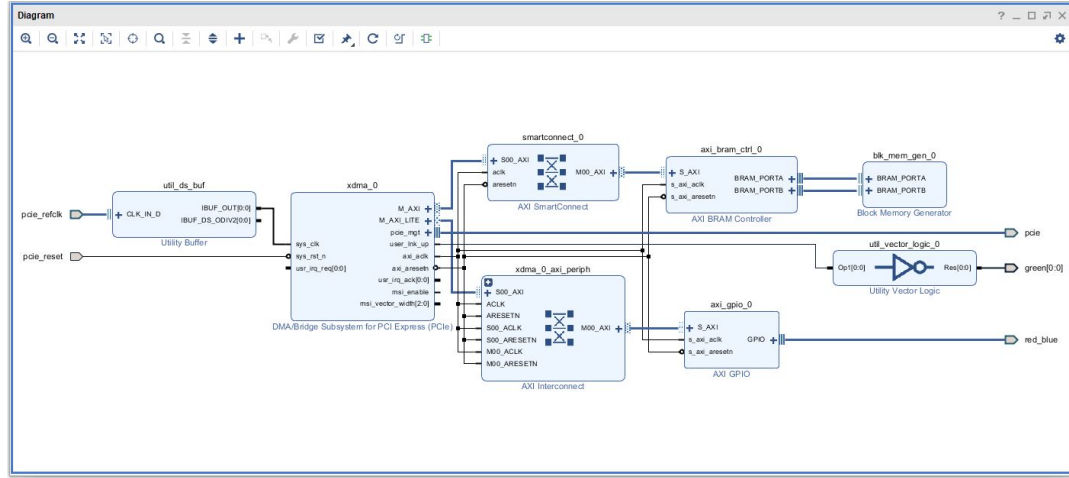
Why Firefly Cables?

Optical → More noise resistant than serial lines of similar speeds



Communication with FPGA over PCIe

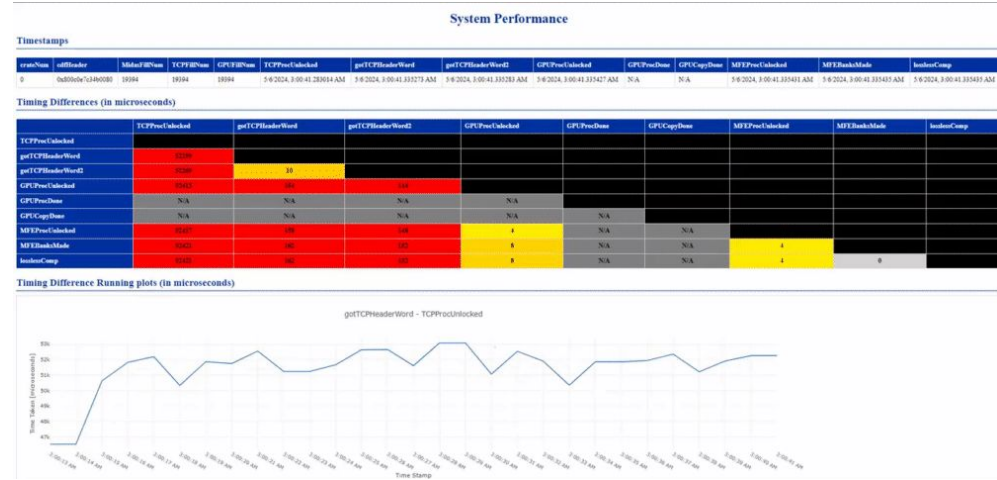
- Want a midas frontend that communicates with an FPGA over PCIe
- This should streamline implementation when Cornell finalizes hardware



Example block diagram (made in Vivado) for a PCIe FPGA

Adding More Debugging Diagnostics to g-2 modified DAQ

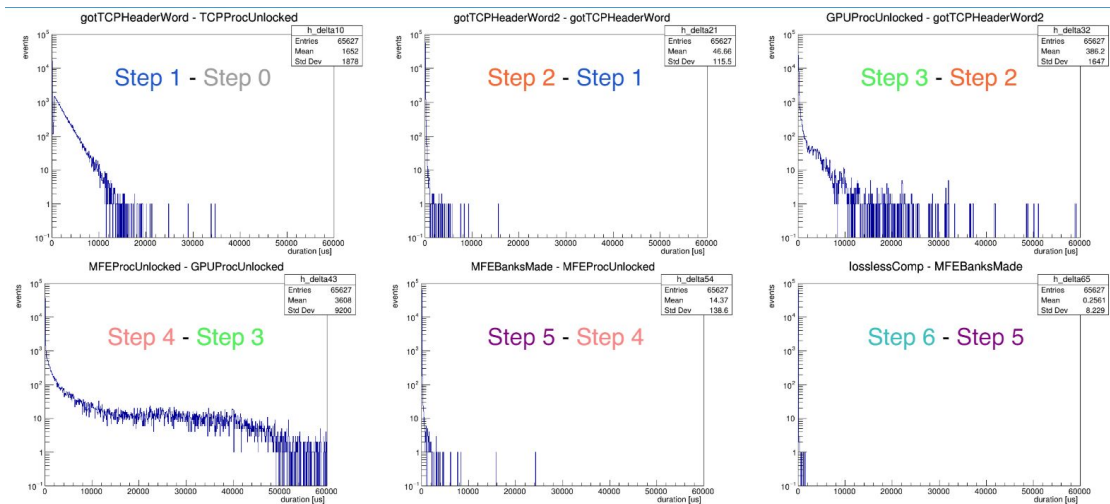
- Created a more general DQM page (no assumption on number of channels/channel mapping)
- Rate limitations were an issue during 2023 test beam
 - Could only run at ~300Hz
- Added timing diagnostics to identify bottleneck
- Plan to add CPU, RAM, and FC7 diagnostic pages as well



Example System Performance Webpage that Links with Midas

Rate Testing/Improving g-2 modified DAQ

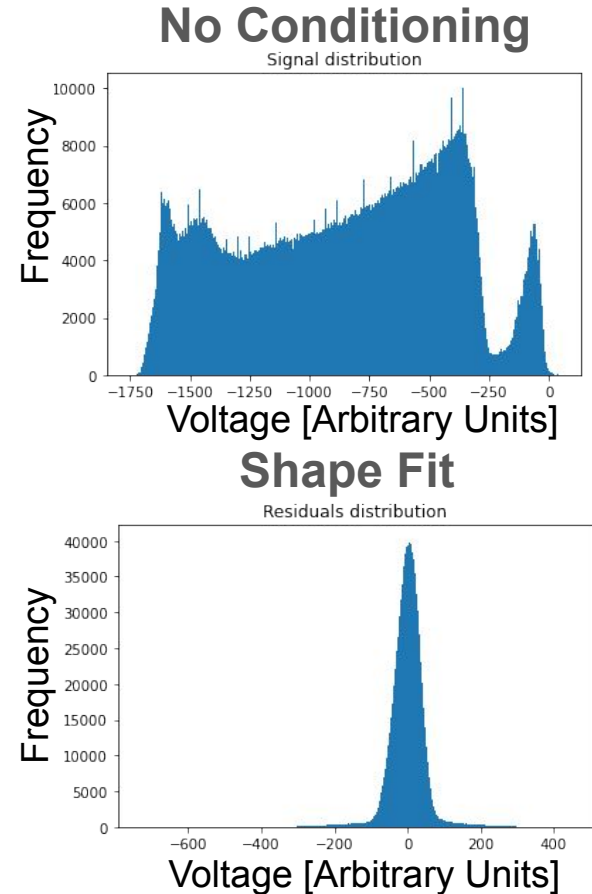
- Analyzed test beam and UKY teststand performance data
 - Bottlenecks are due to rare, long pauses between events
 - Yet to determine exact reason for pauses
- Plan to remove Meinberg card from system, replace with parallel port system
 - Should be faster and more straightforward



Timings of various stages of the data readout midas frontend

Signal Conditioning

- Want a narrow distribution for compression. Let r_i be the numbers we compress
- Methods tried:
 - No conditioning
 - Delta encoding:
$$r_i = y_{i+1} - y_i$$
 - Twice Delta Encoding:
$$r_i = y_{i+2} - 2y_{i+1} + y_i$$
 - Double Exponential Fit:
$$r_i = y_i - (A \cdot \exp(at_i) + B \cdot \exp(bt_i))$$
 - **Shape Fit:**
$$r_i = y_i - (A \cdot T(t_i - t_0) + B)$$



Shape Fitting Algorithm

1. Construct a discrete template from sample pulses
2. Interpolate template to form a continuous Template, $T(t)$
3. “Stretch” and “shift” template to match signal:

$$X[i] = a(t_0)T(t[i] - t_0) + b(t_0)$$

[Note: a and b can be calculated explicitly given t_0]

4. Compute χ^2 (assuming equal uncertainty on each channel i)

$$\chi^2 \propto \sum_i \{X[i] - a(t_0)T(t[i] - t_0) + b(t_0)\}^2$$

5. Use Euler's method to minimize χ^2

Lossless Compression Algorithm

- Rice-Golomb Encoding
 - Let x be number to encode
 $y = \text{"s"} + \text{"q"} + \text{"r"}$
 - $q = x/M$ (unary)
 - $r = x \% M$ (binary)
 - $s = \text{sign}(x)$
 - Any distribution
 - Close to optimal for valid choice of M
 - One extra bit to encode negative sign
 - Self-delimiting
 - If quotient too large, we “give up” and write x in binary with a “give up” signal in front

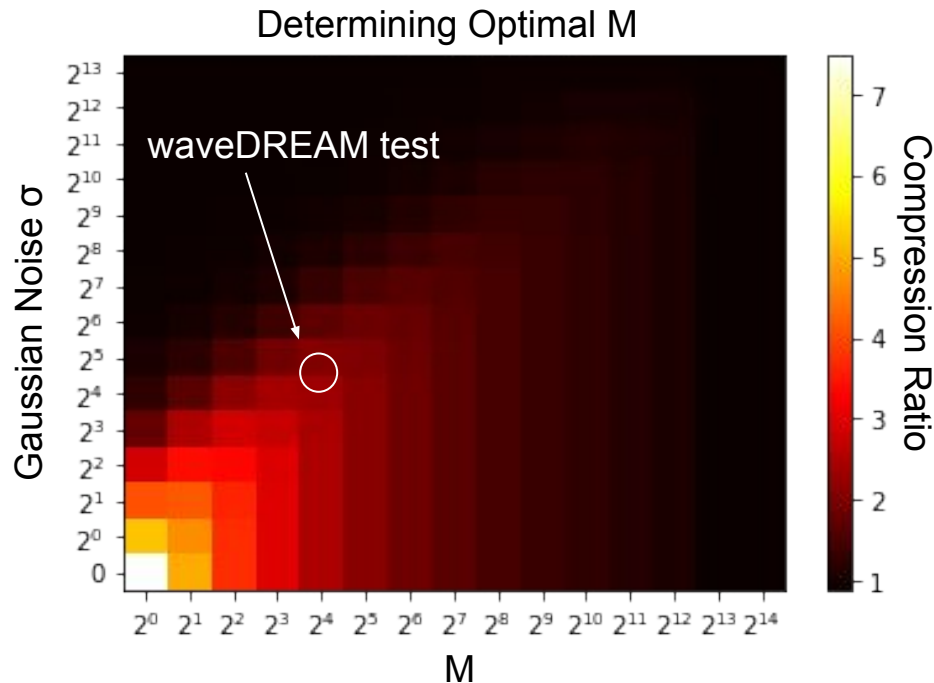
Rice-Golomb Encoding ($M=2$)

| Value | Encoding |
|-------|----------|
| -1 | 011 |
| 0 | 000 |
| 1 | 001 |
| 2 | 1000 |

Red = sign bit
Blue = quotient bit(s) (Unary)
Yellow = remainder bit (binary)

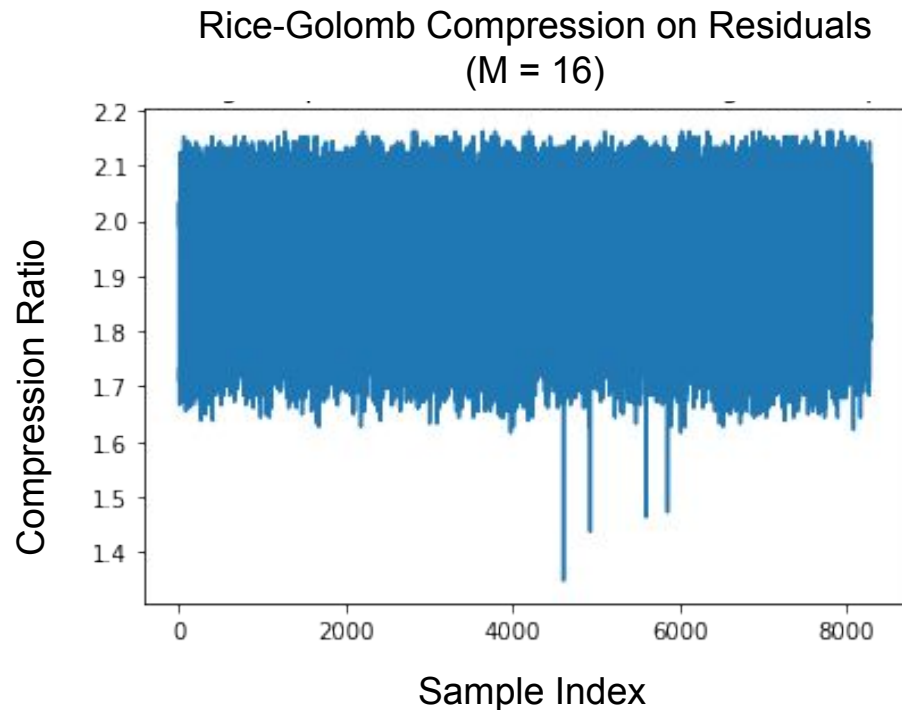
How to choose Rice-Golomb parameter M

- Generated fake Gaussian data (centered at zero) with variance σ^2
- For random variable X,
 $M \approx \text{median}(|X|)/2$ is a good choice
 - This is the close to the diagonal on the plot
- $\sigma \approx 32$ for residuals of shape on wavedream data $\rightarrow M = 16$ is a good choice



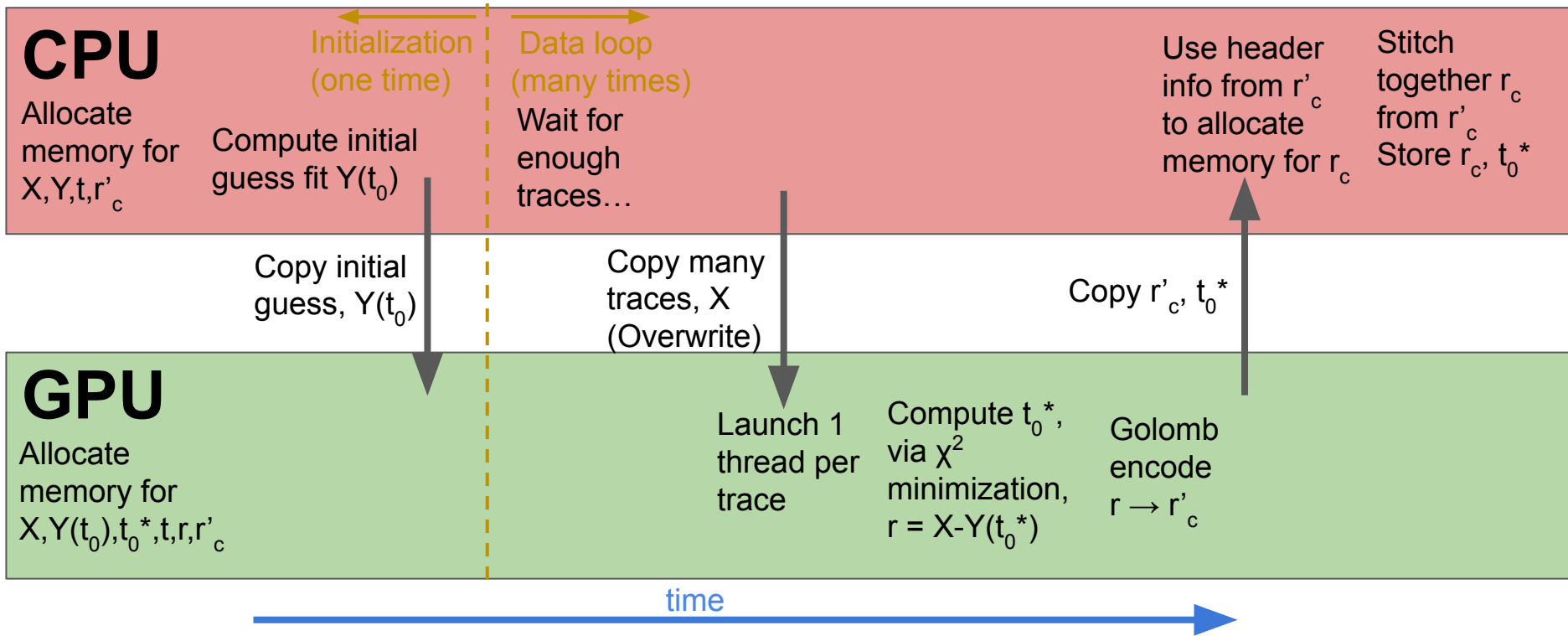
Compression Ratio from Rice-Golomb Encoding

- Lossless compression factor of ~ 2
- In agreement with plot from simulated data on last slide
- Best compression ratio we achieved



Real Time Compression Algorithm

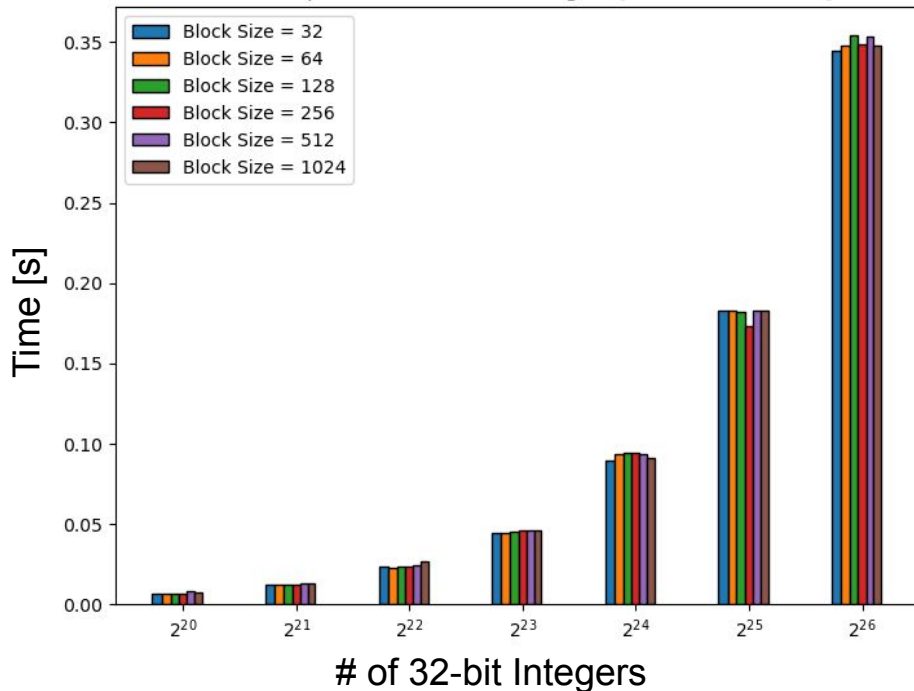
- We choose to let the FE's GPU and CPU handle compression for flexibility



GPU Benchmarking (Timings)

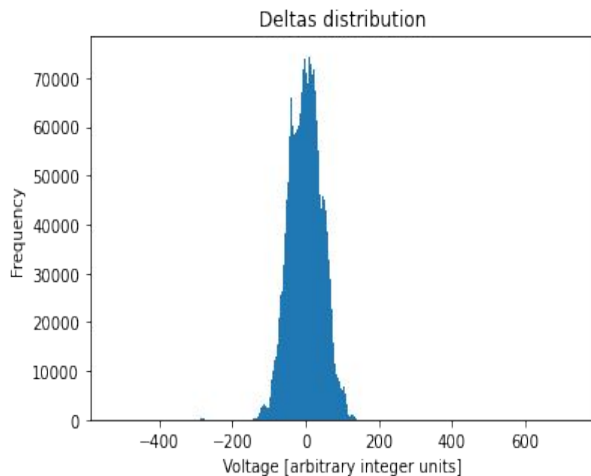
- Block Size:
 - A GPU parameter, number of threads per multiprocessor
- Can compress 2^{26} integers (32-bit) in roughly $\frac{1}{3}$ of a second.
→ ~ **0.8 GB/s** compression rate

Fit + Compression Time using A5000 in PCIe4
(Batch Size = 1024)

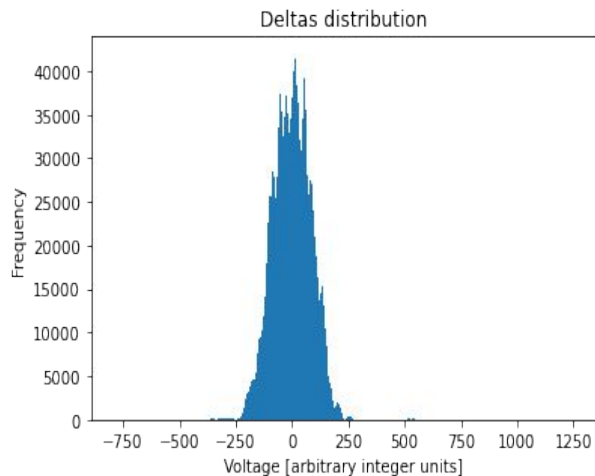


Other Conditioning Distributions

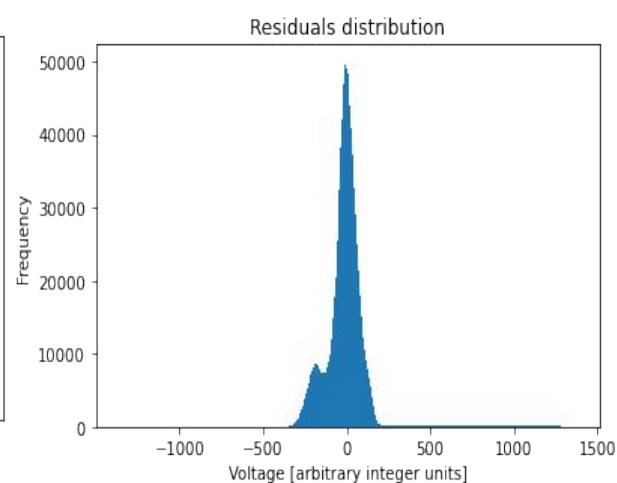
Delta Encoding



Twice Delta Encoding



Double Exponential Fit



Shape Fitting Details

Fit Function

$$X[i] = aT(t[i] - t_0) + b$$

Explicit $a(t_0)$ calc

$$a(t_0) = \frac{\sum_i^N X[i] \sum_i^N T(t[i] - t_0)^2 - \sum_i^N T(t[i] - t_0) \sum_i^N T(t[i] - t_0) X[i]}{N \sum_i^N T(t[i] - t_0)^2 - (\sum_i^N T(t[i] - t_0))^2}$$

Explicit $b(t_0)$ calc

$$b(t_0) = \frac{N \sum_i^N T(t[i] - t_0) X[i] - \sum_i^N T(t[i] - t_0) \sum_i^N X[i]}{N \sum_i^N T(t[i] - t_0)^2 - (\sum_i^N T(t[i] - t_0))^2}$$

Explicit χ^2 calc

$$f(t_0) \equiv \chi^2 \propto \sum_i \{X[i] - a(t_0)T(t[i] - t_0) + b(t_0)\}^2$$

Newton's method

$$(t_0)_{n+1} = (t_0)_n - \frac{f'((t_0)_n)}{f''((t_0)_n)}$$

Threshold requirement

$$|(t_0)_{n+1} - (t_0)_n| < \epsilon \equiv \text{"Threshold"}$$

Golomb Encoding

- In general, M is an arbitrary choice
- Since computers work with binary, $M = 2^x$ such that x is an integer is a “fast” choice
 - This is called Rice-Golomb Encoding
- Self delimiting so long as the information M is provided

Golomb Encoding Example

Choose $M = 10$, $b = \log_2(M) = 3$

$$2^{b+1} - M = 16 - 10 = 6$$

$r < 6 \rightarrow r$ encoded in $b=3$ bits

$r \geq 6 \rightarrow r$ encoded in $b+1=4$ bits

| Encoding of quotient part | |
|---------------------------|-------------|
| q | output bits |
| 0 | 0 |
| 1 | 10 |
| 2 | 110 |
| 3 | 1110 |
| 4 | 11110 |
| 5 | 111110 |
| 6 | 1111110 |
| \vdots | \vdots |
| N | 111...1110 |

| Encoding of remainder part | | | |
|----------------------------|--------|--------|-------------|
| r | offset | binary | output bits |
| 0 | 0 | 0000 | 000 |
| 1 | 1 | 0001 | 001 |
| 2 | 2 | 0010 | 010 |
| 3 | 3 | 0011 | 011 |
| 4 | 4 | 0100 | 100 |
| 5 | 5 | 0101 | 101 |
| 6 | 12 | 1100 | 1100 |
| 7 | 13 | 1101 | 1101 |
| 8 | 14 | 1110 | 1110 |
| 9 | 15 | 1111 | 1111 |

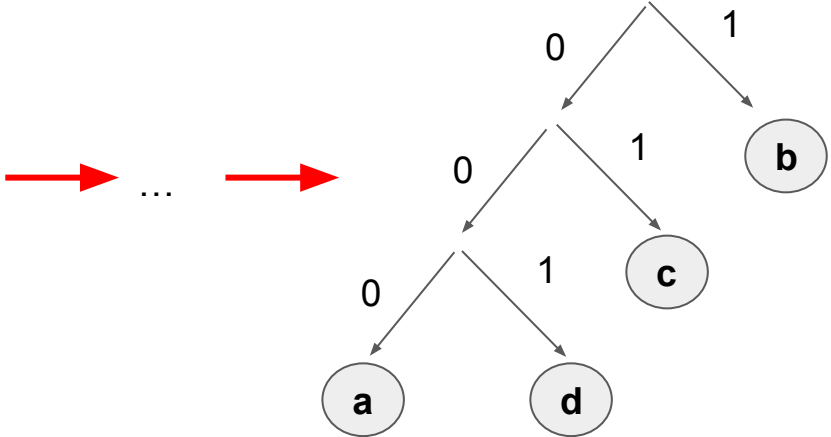
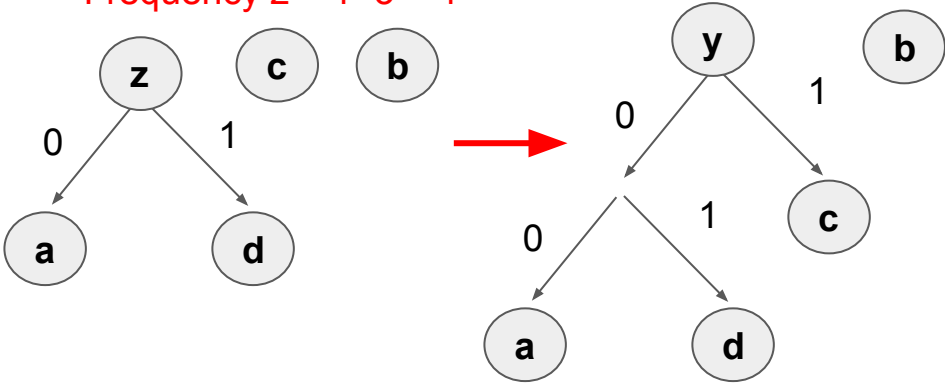
Huffman Encoding

- Requires finite distribution
- Values treated as “symbols”
- Self-delimiting (sometimes called “greedy”)

Huffman Encoding Example

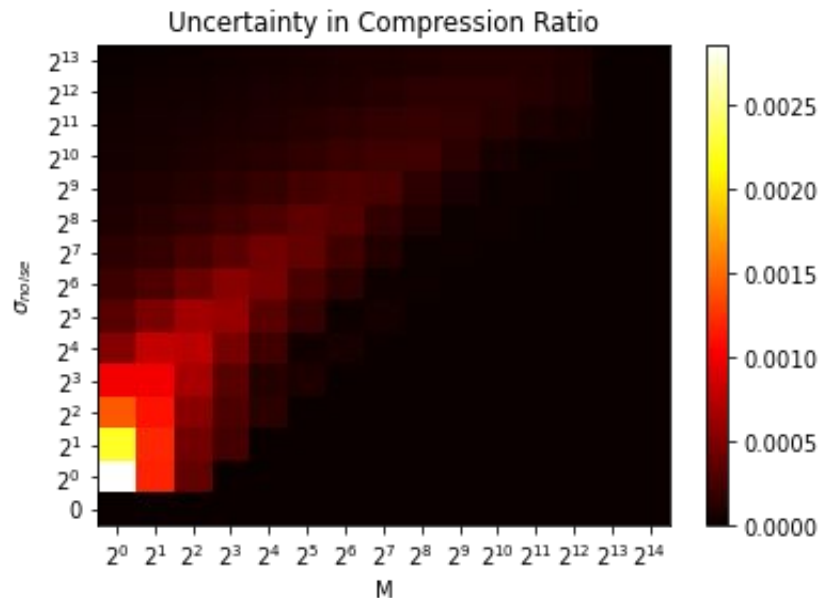
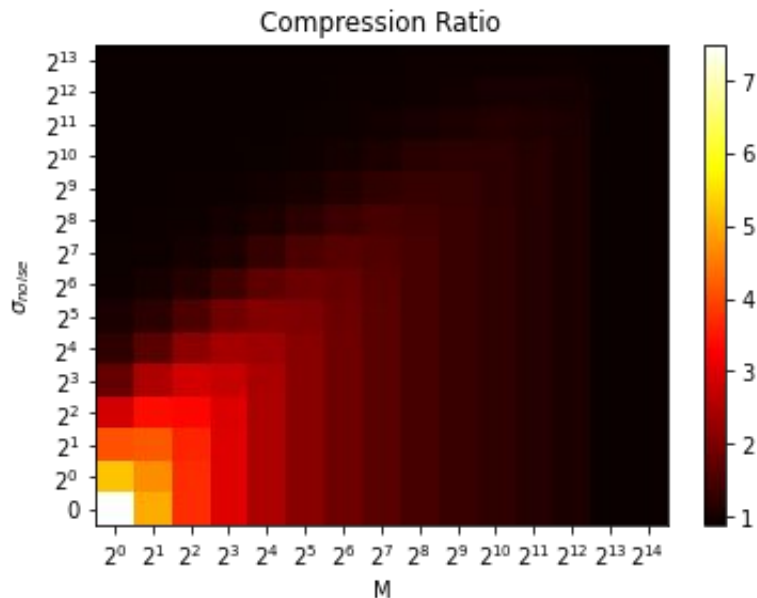
| Value | Frequency | Encoding |
|---------------|-----------|----------|
| -1 \equiv a | 1 | 000 |
| 0 \equiv b | 10 | 1 |
| 1 \equiv c | 5 | 01 |
| 2 \equiv d | 3 | 001 |

“Combine” two lowest frequencies into tree, Frequency z = 1+3 = 4



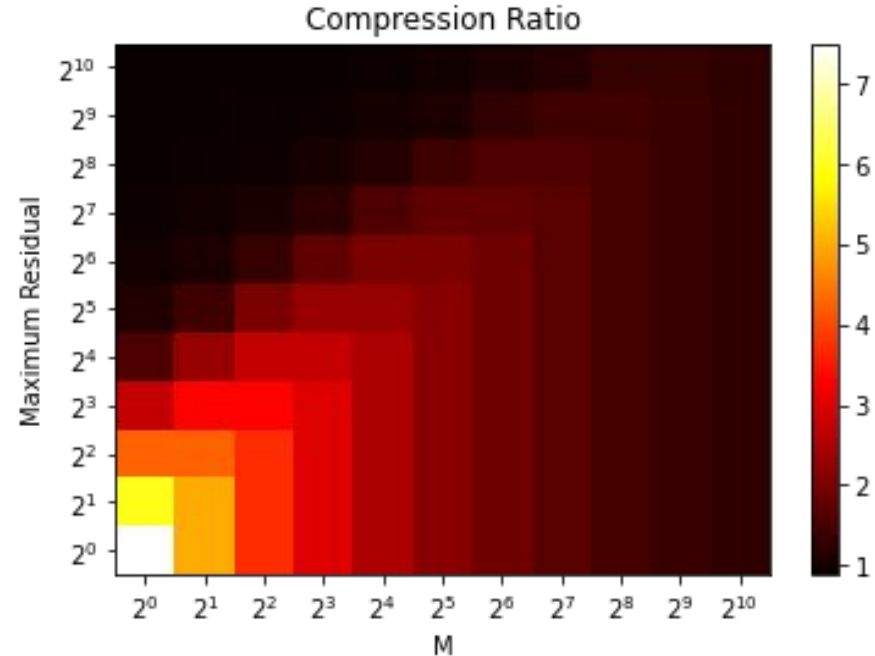
Theoretical Uncertainty in Compression Ratio from Gaussian Noise

- $\sim 0.1\%$ relative error

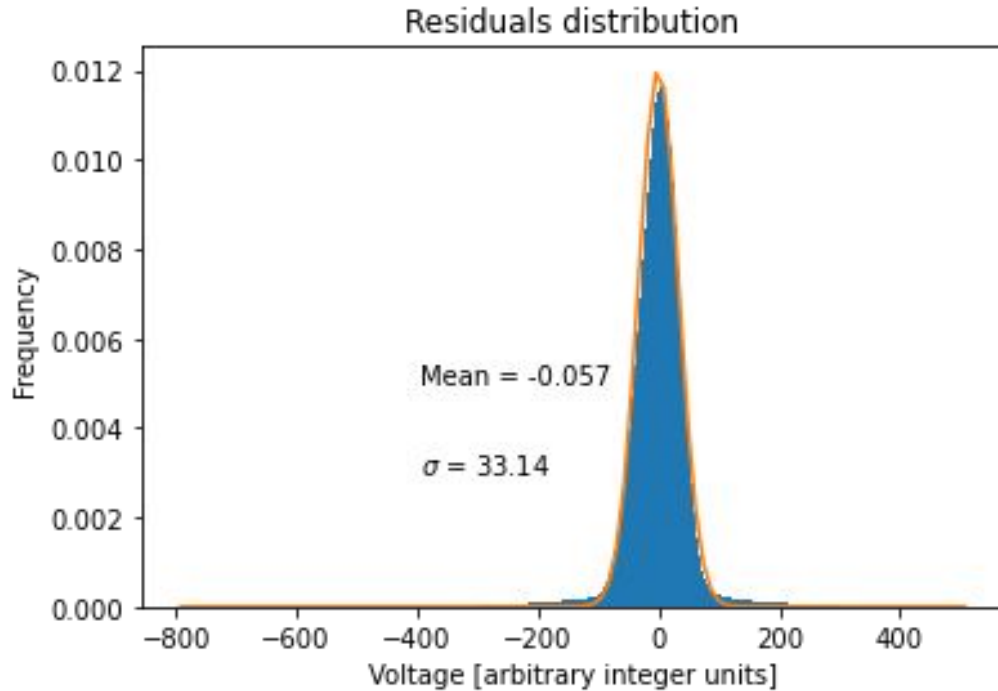


Uniform Distribution of Noise effect on Compression Ratio

- Here instead we use a uniform distribution to generate the noise
- Not much different than gaussian noise, same conclusions really



Residuals Distribution and Optimal M



| M | Compression Ratio |
|-----------|-------------------|
| 1 | 1.04721105 |
| 2 | 1.21287474 |
| 4 | 1.53114598 |
| 8 | 1.92616642 |
| 16 | 2.09307249 |
| 32 | 2.02975311 |
| 64 | 1.86037914 |
| 128 | 1.66627451 |
| ... | ... |

Lossy Compression Idea

- In lossless compression, Rice-Golomb encodes:
 1. Fit parameters
 2. Residuals
- If the residuals meet some criteria, we may choose to throw them out just keeping our fit of the signal.

Example Criteria: $\sum_i r[i] < \epsilon \equiv \text{"Threshold"}$